

Safe Stream-Based Programming with Refinement Types



Benno Stein

University of Colorado

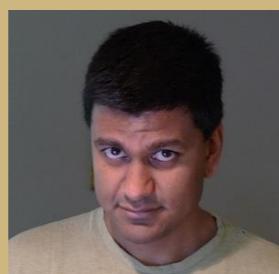
benno.stein@colorado.edu



Lazaro Clapp

Uber Technologies, Inc.

lazaro@uber.com



Manu Sridharan

Uber Technologies, Inc.

msridhar@uber.com



Bor-Yuh Evan Chang

University of Colorado

evan.chang@colorado.edu

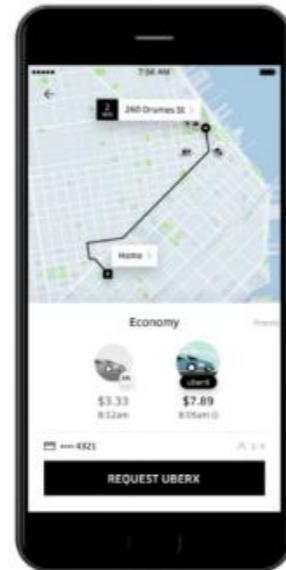
UBER

CUPLV

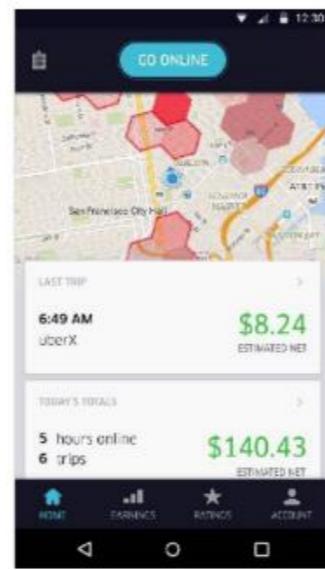
ASE '18
September 6, 2018

Mobile app reliability is crucial

UBER



Rider



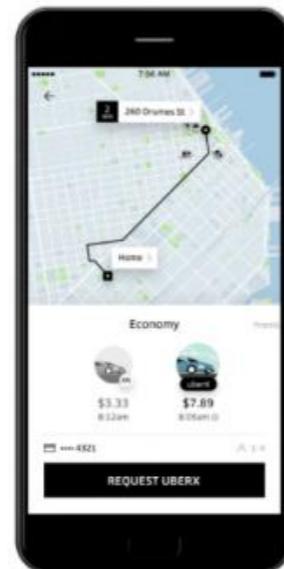
Driver



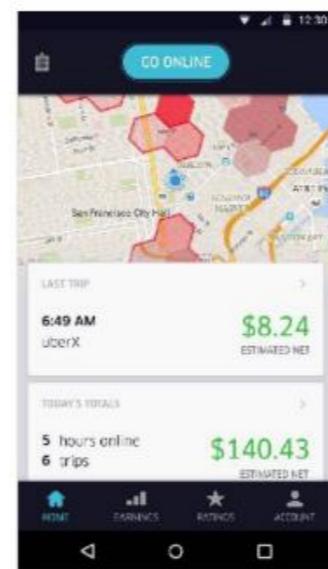
Eats

Mobile app reliability is crucial

UBER



Rider



Driver

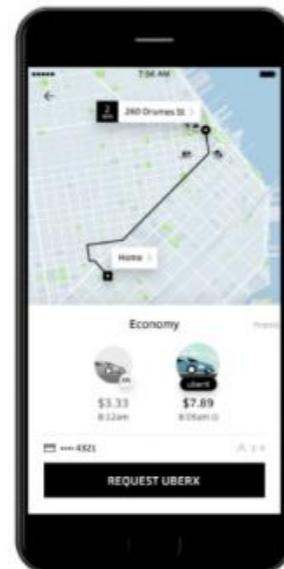


Eats

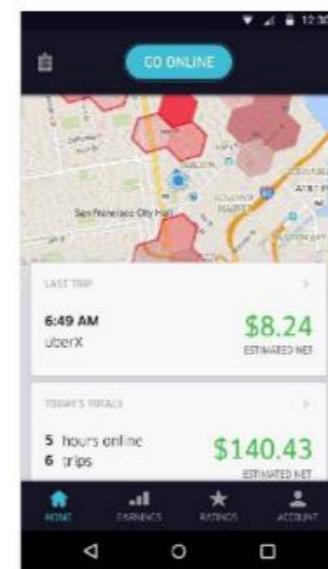
- Rider crash: can't get home
- Driver crash: can't earn

Mobile app reliability is crucial

UBER



Rider



Driver

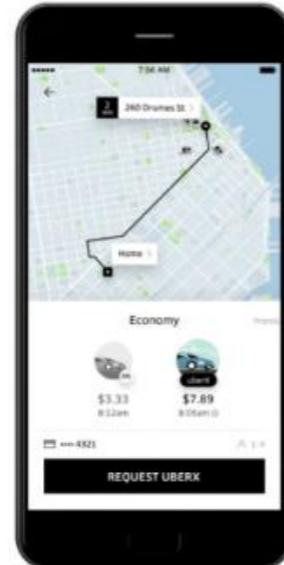


Eats

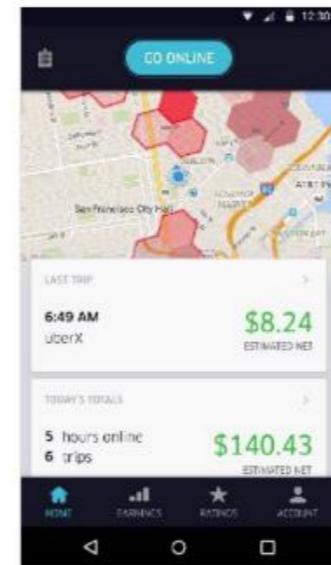
- Rider crash: can't get home
- Driver crash: can't earn
- Whole business depends on mobile apps

Mobile app reliability is crucial

UBER



Rider



Driver



Eats

- Rider crash: can't get home
- Driver crash: can't earn
- Whole business depends on mobile apps
- Patching through third-party app stores is *slow*

Apps are fast-moving, large, and complex

- Hundreds of developers working simultaneously
- Millions of lines of code
- Apps depend upon numerous general-purpose libraries

UI Thread Safety

Don't touch the UI from off the main thread. *Easy enough.*

UI Thread Safety

Don't touch the UI from off the main thread. *Easy enough.*

... not even transitively or through
a library.

e.g. `innocuousLookingMethod`
calls `foo` calls `bar` calls `uiMethod`

UI Thread Safety

Don't touch the UI from off the main thread. *Easy enough.*

... not even transitively or through
a library.

e.g. `innocuousLookingMethod`
calls `foo` calls `bar` calls `uiMethod`

... especially when using stream-based programming
libraries with complex threading behavior

- Stream-Based Programming
- Effect & Thread Type Refinements
- UI-Thread Safety
- Evaluation

Reactive Extensions



ReactiveX

“An API for asynchronous
programming with observable
streams”

Reactive Extensions



ReactiveX

“An API for asynchronous
programming with observable
streams”

- Create or receive streams of events and data
- Use expressive operators to compose and transform streams
- Subscribe callbacks to streams to perform side effects

Reactive Extensions



ReactiveX

“An API for asynchronous
programming with observable
streams”

Used by:



Microsoft

NETFLIX

GITHUB



Trello

treehouse

SeatGeek



Couchbase

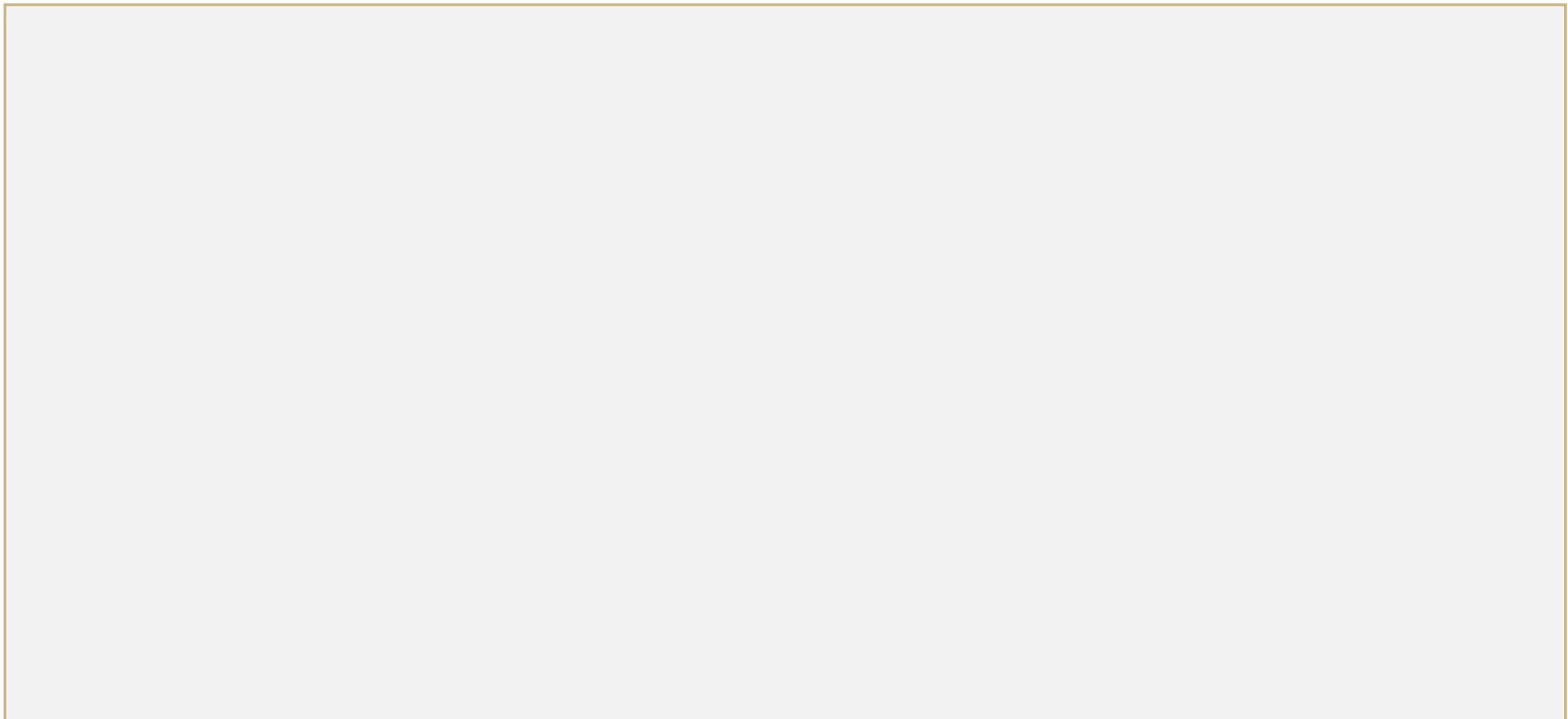
futurice

O.C.TANNER

- Create or receive streams of events and data
- Use expressive operators to compose and transform streams
- Subscribe callbacks to streams to perform side effects

Stream-Based Programming

Reactive Extensions (ReactiveX) example:



Stream-Based Programming

Reactive Extensions (ReactiveX) example:

```
Observable<...> carLocationData = ... ;
```

Stream-Based Programming

Reactive Extensions (ReactiveX) example:

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
.filter( car -> /* car has no passenger */ )
```

Stream-Based Programming

Reactive Extensions (ReactiveX) example:

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())
```

Stream-Based Programming

Reactive Extensions (ReactiveX) example:

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)
```

Stream-Based Programming

Reactive Extensions (ReactiveX) example:

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

- Stream-Based Programming
- Effect & Thread Type Refinements
- UI-Thread Safety
- Evaluation

Effects & Refinement Types

Function types typically only encode input and output:

$$\tau_{in} \rightarrow \tau_{out}$$

Effects & Refinement Types

Function types typically only encode input and output:

$$\tau_{in} \rightarrow \tau_{out}$$

Effect types refine function types by their side-effects:

$$\tau_{in} \rightarrow_e \tau_{out}$$

Effects & Refinement Types

Function types typically only encode input and output:

$$\tau_{in} \rightarrow \tau_{out}$$

Effect types refine function types by their side-effects:

$$\tau_{in} \rightarrow \circlearrowleft e \tau_{out}$$



e.g. UI access, network I/O, heavy computation

Effects

```
// java.lang.Math  
int max(int x, int y) {...}  
  
// android.widget.Button  
void setText(String text) {...}  
  
// com.example.MyApp  
void foobar() {...}  
  
// some obscure Android library  
void poorlyDocumentedMethod() {...}
```

Effects

```
// java.lang.Math  
@SafeEffect int max(int x, int y) {...}  
  
// android.widget.Button  
void setText(String text) {...}  
  
// com.example.MyApp  
void foobar() {...}  
  
// some obscure Android library  
void poorlyDocumentedMethod() {...}
```

Effects

```
// java.lang.Math  
@SafeEffect int max(int x, int y) {...}  
  
// android.widget.Button  
@UIEffect void setText(String text) {...}  
  
// com.example.MyApp  
void foobar() {...}  
  
// some obscure Android library  
void poorlyDocumentedMethod() {...}
```

Effects

```
// java.lang.Math  
@SafeEffect int max(int x, int y) {...}  
  
// android.widget.Button  
@UIEffect void setText(String text) {...}  
  
// com.example.MyApp  
????? void foobar() {...}  
  
// some obscure Android library  
????? void poorlyDocumentedMethod() {...}
```

Effect Typing as Call-graph Reachability

All methods

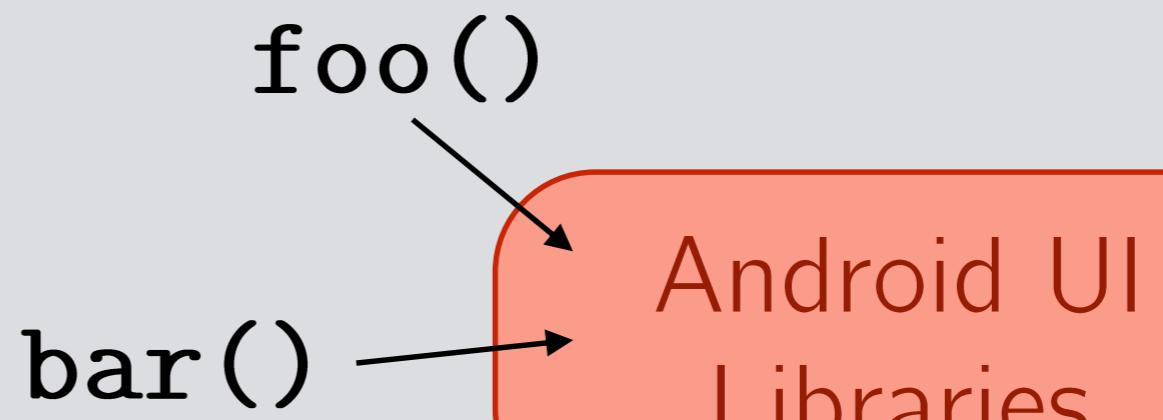
Effect Typing as Call-graph Reachability

All methods

Android UI
Libraries

Effect Typing as Call-graph Reachability

All methods



Effect Typing as Call-graph Reachability

All methods

Potentially UI-affecting methods

`foo()`
`bar()`

Android UI
Libraries

Effect Type Refinements

`@UIEffect`



`@SafeEffect`

Effect Type Refinements

`@UIEffect`



`@SafeEffect`

Transitivity:

A method with effect annotation e can **call** a method with effect annotation e' if and only if $e \leq e'$

Effect Type Refinements

`@UIEffect`



`@SafeEffect`

Transitivity:

A method with effect annotation e can **call** a method with effect annotation e' if and only if $e \leq e'$

Inheritance:

A method with effect annotation e can **override** a method with effect annotation e' if and only if $e \leq e'$

Effects alone are insufficient

Previous work with effect types handles UI library interfaces with *fixed* threading behavior, such as:

```
runOnUiThread : Runnable -> void
```

Effects alone are insufficient

Previous work with effect types handles UI library interfaces with *fixed* threading behavior, such as:

`runOnUiThread : Runnable -> void`

Definitely runs on the UI thread,
can safely touch the UI

Effects alone are insufficient

Previous work with effect types handles UI library interfaces with *fixed* threading behavior, such as:

`runOnUiThread : Runnable -> void`

Definitely runs on the UI thread,
can safely touch the UI

Stream-based interfaces have *dynamic* threading behavior, such as:

`subscribe : Observable<T> -> Consumer<T> -> void`

Effects alone are insufficient

Previous work with effect types handles UI library interfaces with *fixed* threading behavior, such as:

`runOnUiThread : Runnable -> void`

Definitely runs on the UI thread,
can safely touch the UI

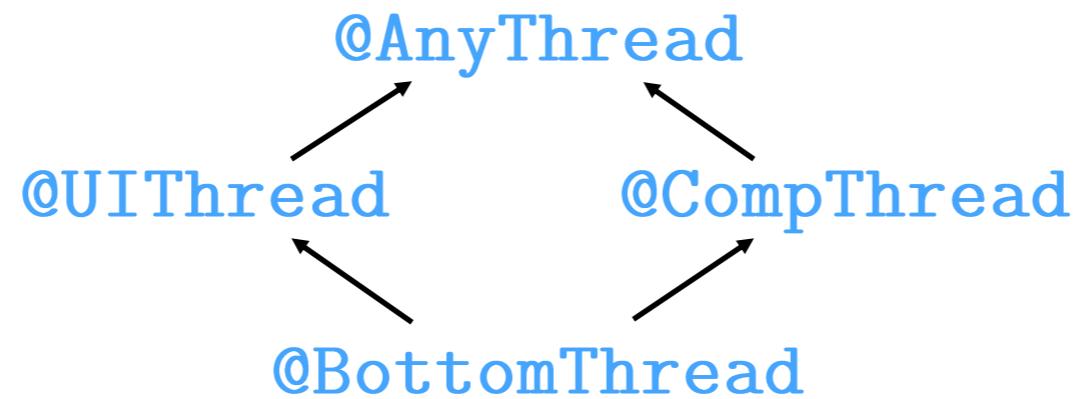
Stream-based interfaces have *dynamic* threading behavior, such as:

`subscribe : Observable<T> -> Consumer<T> -> void`

Runs on a thread determined dynamically by
the scheduler of the receiver stream

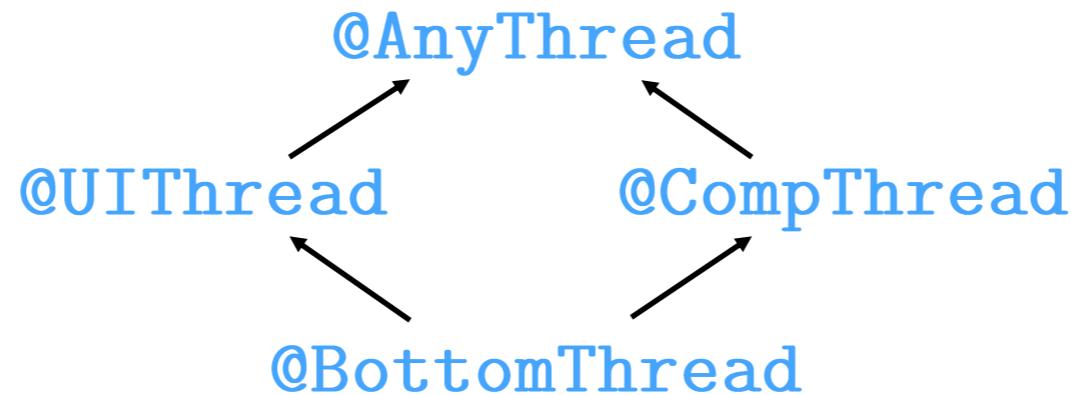
Thread Type Refinement

Type Lattice:



Thread Type Refinement

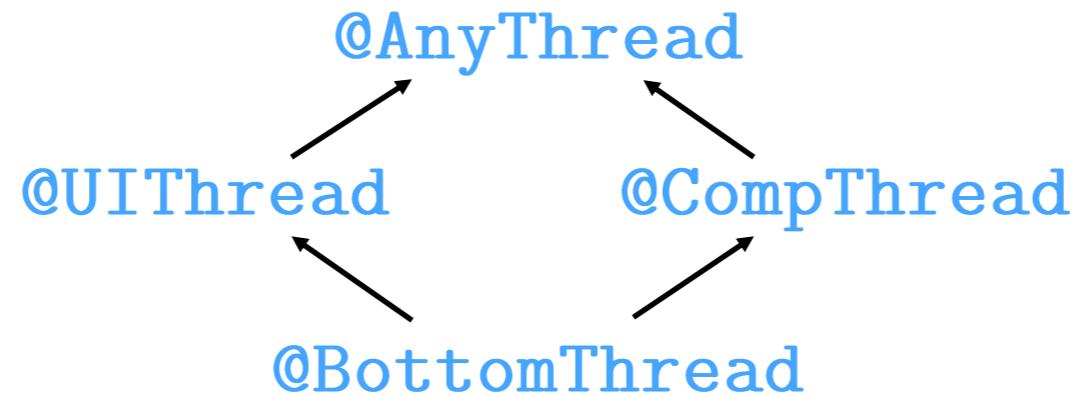
Type Lattice:



Example stream function types:

Thread Type Refinement

Type Lattice:



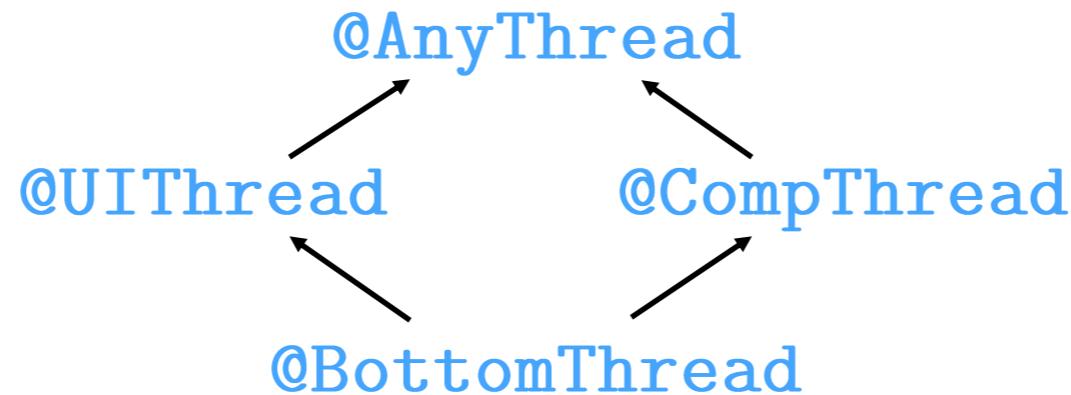
Example stream function types:

filter :

`@PolyThread Observable<T> -> Predicate<T> -> @PolyThread Observable<T>`

Thread Type Refinement

Type Lattice:



Example stream function types:

filter :

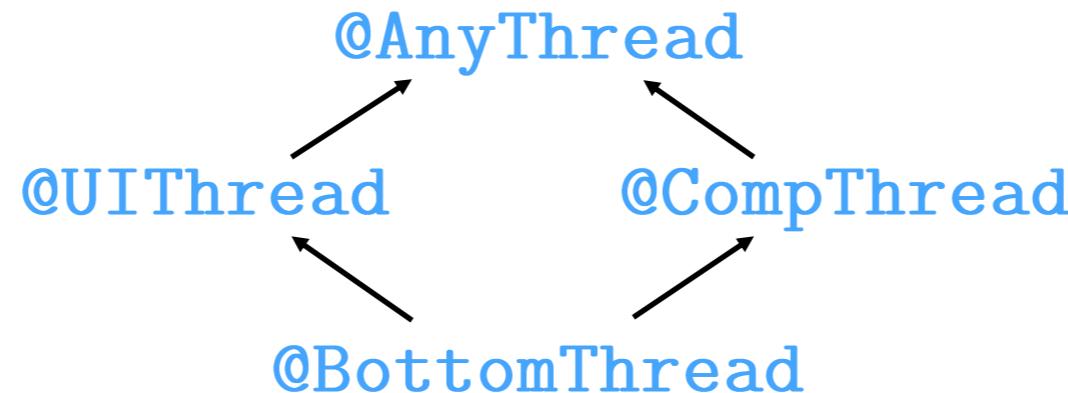
`@PolyThread Observable<T> -> Predicate<T> -> @PolyThread Observable<T>`

delay :

`@AnyThread Observable<T> -> int -> TimeUnit -> @CompThread Observable<T>`

Thread Type Refinement

Type Lattice:



Example stream function types:

filter :

`@PolyThread Observable<T> -> Predicate<T> -> @PolyThread Observable<T>`

delay :

`@AnyThread Observable<T> -> int -> TimeUnit -> @CompThread Observable<T>`

observeOn :

`@AnyThread Observable<T>
-> @PolyThread Scheduler -> @PolyThread Observable<T>`

- Stream-Based Programming
- Effect & Thread Type Refinements
- UI Thread Safety
- Evaluation

UI Thread Safety

A stream-based program is *guaranteed* never to access the UI from a non-UI thread if `@UIEffect` callbacks are only subscribed to `@UIThread` streams.

Example Revisited

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

Example Revisited

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@AnyThread

@SafeEffect

@UIEffect

@UIEffect

Example Revisited

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@AnyThread

@AnyThread

@SafeEffect

@UIEffect

@UIEffect

Example Revisited

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@AnyThread

Observable<...> carLocationData = ... ;

@AnyThread

carLocationData

@SafeEffect

@UIThread

.observeOn(AndroidSchedulers.mainThread())

.delay(100, TimeUnit.MILLISECONDS)

.subscribe(

@UIEffect

car -> { /* display car on map */ },

err -> { /* render error message */ });

@UIEffect

Example Revisited

```
delay : @AnyThread Observable<T>
        -> int -> TimeUnit
        -> @CompThread Observable<T>
```

@AnyThread

@AnyThread

@UIThread

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@SafeEffect

@UIEffect

@UIEffect

Example Revisited

```
delay : @AnyThread Observable<T>
        -> int -> TimeUnit
        -> @CompThread Observable<T>
```

@AnyThread

@AnyThread

@UIThread

@CompThread

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
.filter( car -> /* car has no passenger */ )  
.observeOn(AndroidSchedulers.mainThread())  
.delay(100, TimeUnit.MILLISECONDS)  
.subscribe(  
    car -> { /* display car on map */ },  
    err -> { /* render error message */ });
```

@SafeEffect

@UIEffect

@UIEffect

Example Revisited

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .observeOn(AndroidSchedulers.mainThread())  
    .delay(100, TimeUnit.MILLISECONDS)  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@CompThread

@UIEffect

@UIEffect

Example Revisited

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
  
.filter( car -> /* car has no passenger */ )  
  
.observeOn(AndroidSchedulers.mainThread())  
  
.delay(ERROR!, t.MILLISECONDS)  
  
.subscribe(@UIEffect  
  
    car -> { /* display car on map */ },  
  
    err -> { /* render error message */ });  
  
@CompThread
```

Fixed Example

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
  
.filter( car -> /* car has no passenger */ )  
  
.delay(100, TimeUnit.MILLISECONDS)  
  
.observeOn(AndroidSchedulers.mainThread())  
  
.subscribe(  
  
    car -> { /* display car on map */ },  
  
    err -> { /* render error message */ });
```

Fixed Example

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .delay(100, TimeUnit.MILLISECONDS)  
    .observeOn(AndroidSchedulers.mainThread())  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

Fixed Example

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .delay(100, TimeUnit.MILLISECONDS)  
    .observeOn(AndroidSchedulers.mainThread())  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@AnyThread

@AnyThread

@SafeEffect

@UIEffect

@UIEffect

Fixed Example

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .delay(100, TimeUnit.MILLISECONDS)  
    .observeOn(AndroidSchedulers.mainThread())  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@AnyThread

Observable<...> carLocationData = ... ;

@AnyThread

carLocationData

@SafeEffect

.filter(car -> /* car has no passenger */)

@CompThread

.delay(100, TimeUnit.MILLISECONDS)

@UIEffect

.observeOn(AndroidSchedulers.mainThread())

.subscribe(

car -> { /* display car on map */ },

err -> { /* render error message */ });

@UIEffect

Fixed Example

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .delay(100, TimeUnit.MILLISECONDS)  
    .observeOn(AndroidSchedulers.mainThread())  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@AnyThread

@AnyThread

@CompThread

@UIThread

@SafeEffect

@UIEffect

@UIEffect

Fixed Example

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
    .filter( car -> /* car has no passenger */ )  
    .delay(100, TimeUnit.MILLISECONDS)  
    .observeOn(AndroidSchedulers.mainThread())  
    .subscribe(  
        car -> { /* display car on map */ },  
        err -> { /* render error message */ });
```

@UiThread

@UIEffect

@UIEffect

Fixed Example

```
Observable<...> carLocationData = ... ;  
  
carLocationData  
  
.filter( car -> /* car has no passenger */ )  
  
.delay(100, TimeUnit.MILLISECONDS)  
.observeOn(Schedulers.mainThread())  
  
.subscribe(  
    car -> { /* display car on map */ },  
    err -> { /* render error message */ });
```

@UIThread

NO ERROR!

@UIEffect

@UIEffect

- Stream-Based Programming
- Effect & Thread Type Refinements
- UI Thread Safety
- Evaluation

Experiments

RQ1: *Is the typechecker practical and easy-to-use?*

- Manual annotation burden is small
- Compile-time performance cost is low
- Error messages and warnings are understandable

RQ2: *Does the typechecker find real bugs and help fix them?*

- Stream-based threading bugs exist in practice
- Typechecker identifies them successfully
- Checked programs are reliably bug-free

Open Source Android apps:

Java applications on GitHub

... that import ReactiveX **AndroidSchedulers**,

... have at least 15 “stars”

... and had been indexed recently.

Uber Case Study:

- Deployed in production for **Driver** and **Eats** apps.
- Over 500k LoC in total

Usability

RQ1: *Is the typechecker practical and easy-to-use?*

- Manual annotation burden is small
- Compile-time performance cost is low
- Error messages and warnings are understandable by real developers

App	KLoC	Annotations	Reported Errors	Compile Time (sec.)
ForPDA	33.0	197	4	27
chat-sdk-android	34.6	102	6	21
trust-wallet-android	8.8	27	2	17
arch-components-date	0.7	2	0	8
MVPArms	6.3	59	1	9
rxbus	3.3	12	0	3
SmartReceiptsLibrary	39.9	217	16	30
OpenFoodFacts	14.9	146	4	41
Averages	17.7	95	4.1	19.5

Usability

RQ1: *Is the typechecker practical and easy-to-use?*

- Manual annotation burden is small ✓
- Compile-time performance cost is low
- Error messages and warnings are understandable by real developers

App	KLoC	Annotations	Reported Errors	Compile Time (sec.)
ForPDA	33.0	197	4	27
chat-sdk-android	34.6	102	6	21
trust-wallet-android	8.8	27	2	17
arch-components-date	0.7	2	0	8
MVPArms	6.3	59	1	9
rxbus				3
SmartReceiptsLib				30
OpenFoodFacts	14.9	146	4	41
Averages	17.7	95	4.1	19.5

One annotation per 186 LoC

Usability

RQ1: *Is the typechecker practical and easy-to-use?*

- Manual annotation burden is small ✓
- Compile-time performance cost is low ✓
- Error messages and warnings are understandable by real developers

App	KLoC	Annotations	Reported Errors	Compile Time (sec.)
ForPDA	33.0	197	4	27
chat-sdk-android	34.6	102	6	21
trust-wallet-android	8.8	27	2	17
arch-components-date	0.7	2	0	8
MVPArms	6.3	59	1	9
rxbus	3.3	12	0	3
SmartReceiptsLibrary	39.9	217	16	30
OpenFoodFacts	14.9	146	4	41
Averages	17.7	95	4.1	19.5

Usability

RQ1: *Is the typechecker practical and easy-to-use?*

- Manual annotation burden is small ✓
- Compile-time performance cost is low ✓
- Error messages and warnings are understandable by real developers ✓

Uber Case Study:

- Over 4000 commits by 176 Uber developers
- One annotation per 178 LoC by Uber developers

Effectiveness

RQ2: *Does the typechecker find real bugs and help fix them?*

- Stream-based threading bugs exist in practice
- Typechecker identifies them successfully
- Checked programs are reliably bug-free

Effectiveness

RQ2: *Does the typechecker find real bugs and help fix them?*

- Stream-based threading bugs exist in practice ✓ ?
- Typechecker identifies them successfully ✓
- Checked programs are reliably bug-free

App	KLoC	Annotations	Reported Errors	Compile Time (sec.)
ForPDA	33.0	197	4	27
chat-sdk-android	34.6	102	6	21
trust-wallet-android	8.8	27	2	17
arch-components-date	0.7	2	0	8
MVPArms	6.3	59	1	9
rxbus	3.3	12	0	3
SmartReceiptsLibrary	39.9	217	16	30
OpenFoodFacts	14.9	146	4	41
Averages	17.7	95	4.1	19.5

Effectiveness

RQ2: *Does the typechecker find real bugs and help fix them?*

- Stream-based threading bugs exist in practice ✓ ?
- Typechecker identifies them successfully ✓
- Checked programs are reliably bug-free

Uber Case Study:

- 41 changes to threading behavior of stream-processing code during initial setup
- 135 additions of `observeOn(mainThread)` by developers in response to alarms after initial setup

Effectiveness

RQ2: Does the typechecker find real bugs and help fix them?

- Stream-based threading bugs exist in practice ✓
- Typechecker identifies them successfully ✓
- Checked programs are reliably bug-free ✓

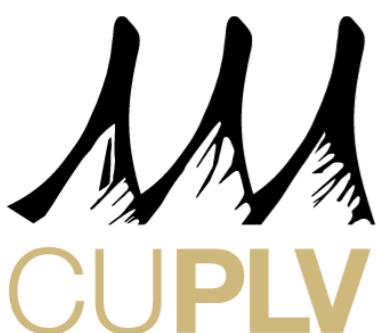
Uber Case Study:

- Zero **CalledFromWrongThreadException** crashes in production in checked code!
 - monitoring period of one month
 - non-zero crash rates in unchecked apps

Contributions:

- Refinement type system for stream threads
- Typechecker implementation for Android
- Evaluation on open-source and industrial apps

UBER



Benno Stein

University of Colorado

benno.stein@colorado.edu

ASE '18

September 6, 2018